

October 5, 2017

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To: John Doe, Individual By Email: JohnDoe@gmail.com

Re: Innovator: Patentability Search & Legal Opinion for: <u>SYSTEM AND METHOD</u> FOR A SUBMERSIBLE UNDERWATER CONTAINER

In summary, after searching all public databases for the United States Patent and Trademark Office (USPTO), as well as conducting a worldwide search for internationally filed patents, I discovered several references that are very relevant to the patentability of your invention, including US 2,350,883, US 2016/0273821, US 6,014,833, and US 2016/0381841. Further, I located an internationally (foreign) filed Korean patent application that may be relevant, which is provided below as patent application <u>KR20090096843</u>. Finally, while conducting a search for any relevant non-patent product literature that may be useful to understand the state of the art, I uncovered a product which is included below under the heading "<u>Floating Fish Cage</u>." (underlined references are hyperlinked, click to read.)

A primary focus of the search was to determine whether any patents or publications, as well as any commercially available products, exist that "anticipate" your proposed invention. In other words, a prior art reference that would make your proposed invention not <u>novel</u> according to the criteria of what constitutes novelty at the USPTO. In a novelty based rejection, an assigned patent Examiner asserts that each and every element of one or more claims of your patent application is taught by the disclosure from a single reference. I am happy to share that none of the references cited in the search were found to be identical to your proposed invention, meaning that the claims of a patent application for your proposed invention are likely to be held to be <u>novel</u> over the existing prior art

There are, however, several references that at least partially describe your invention, and may be used in one or more obviousness based rejections. In an obviousness based rejection, an Examiner may assert that a combination of references that each partially describe your proposed invention make your patent application "obvious," which means it is unpatentable. Due to the many differences between your proposed invention and the prior art, we are optimistic that it is possible to overcome such obviousness rejections.

After thoroughly reviewing each reference included below and the many references produced by the search, we feel that it is worthwhile to pursue filing your patent application. Notably, it is possible and likely that a patent would be granted for your invention if the claims of your patent application are drawn carefully and narrowly to avoid the prior art found in the search below.

The Search

The search was directed towards **<u>SYSTEM AND METHOD FOR A SUBMERSIBLE</u>** <u>**UNDERWATER CONTAINER**</u> with the <u>key features</u> of:

- 1) A specialized net that includes a container adapted for holding food, seafood, or other items that need cooling (container may be waterproof when storing food or drinks and may further include a tightly-sealed lid);
- 2) A container located within the specialized net;
- 3) The specialized net and container are submersible underwater and used in order to keep any food, seafood, drinks, or other items located in the container cool via the underwater cold temperatures;
- 4) The specialized net has metal hangers or clips attached to the net that can clip or attach to container to keep the net more compact and the container in place;
- 5) One or more suspension devices (e.g. ropes) to suspend the net and container from above;
- 6) Propellers extending from the container, whereby the propellers lower or raise the net and container to the correct depth to keep items in container cool when submerged underwater; and
- 7) Temperature sensors for sensing the temperature of the container that may indicate that the container needs to be lowered or lifted to maintain proper cooling temperature.

Search Methodology

I began the search broadly using the Google Patents and Google Advanced Patents databases. I used the above key features and natural language search terms to query the databases. I then used our third party patent research team to conduct a world-wide search on the key features above in the following databases for published granted patents and applications: US, European Union, German (both Utility and Models), WIPO, British, French, Chinese, Japanese, and Korean. This resulted in finding several patent documents of which I read through and then proceeded to review each of the "Patent Citations," i.e. patents which are cited by a particular patent, and "Referenced By," i.e. patents which cite the particular patent. This resulted in finding numerous patent documents including but not limited to: US 2,350,883; US 2016/0273821; US 6,014,833; US 2016/0381841, and KR20090096843. Based on these results, which were in my opinion the most relevant documents have been placed in, and this list is shown directly below this paragraph. Some of these classifications were then used to conduct a narrower search for specific features of your invention.

Field of Cooperative Patent Classification Search (2017.05 version): B65D 81/18, B65D 81/22, and F25D 1/00.

<u>Class Descriptions (Hyperlinked, click to read</u>): Class <u>B65D 81/00</u> represents "Containers, packaging elements, or packages, for contents presenting particular transport or storage problems, or adapted to be used for non-packaging purposes after removal of contents." Of particular interest is subclasses: <u>81/18</u>, which includes "Providing specific environment for contents, e.g. temperature above or below ambient," and subclass <u>81/22</u>, which includes "Containers providing specific environment for contents, e.g. temperature above or below ambient in moist conditions or immersed in liquids."

Class <u>F25D</u> represents "Refrigerators; cold rooms; ice-boxes; cooling or freezing apparatus not covered by any other subclass." Of particular interest is subclass: 1/00, which includes "Devices using naturally cold air or cold water."

Legal Standard

To be patentable, an invention must be directed to patentable subject matter (§ 101), useful (§ 101), novel (§ 102), and non-obvious (§ 103) in light of existing technology and knowledge (referred to as "prior art").

Patentable subject matter is defined in 35 U.S.C. § 101 which, in part, states:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvements thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Thus, four categories of patentable subject matter exist: (1) machine, (2) manufacture, (3) composition of matter, and (4) process. This may seem to cover "everything under the sun" but the Supreme Court has long held that several exceptions are innate to these classifications. Exactly what these exceptions cover and thus what is and what is not patentable subject matter is currently in flux.

First, natural products and natural phenomena are not patentable subject matter. Newly discovered plants and natural compounds cannot be patented. Previously, purified natural compounds, such as drugs, were patentable in their purified state. Recent Supreme Court decisions, however, have seemed to classify purified natural products as non-patentable subject matter. Mathematical equations such as $E=mc^2$ have also been deemed to be non-patentable subject matter as they claim natural phenomena.

The second and final judicial exception is by far the most complicated and the most in flux. Abstract ideas are not patentable. Unfortunately, when the Supreme Court says abstract idea they do not simply mean an idea that is general and lacking in specificity. It could be argued that the Supreme Court does not even know what they mean by "abstract idea" as they have declined to give a definition directing lower courts only to look at the things they have declared abstract ideas. In its December 2016 examiner guidance the patent office grouped 59 decisions by the Federal Circuit and the Supreme Court where the "abstract idea" exception was used to deny patentability into four categories: (1) Mathematical relationships and formulas, (2) Fundamental Economic Practices, (3) Certain Methods of Organizing Human Activity, and (4) an idea itself. This is of limited use as it is not precedential law and it is somewhat unclear exactly what is meant by an idea itself. It does however shed light on how the patent office views the legal situation.

Some inventions can be deemed however to likely lead to abstract idea rejections and others can be deemed potentially problematic. Inventions dealing with methods of playing games, or inventions that could be described as taking a well-known human activity and placing it on the internet are likely to lead to abstract idea rejections. The latter if combined with something that could be described as a fundamental economic activity is especially likely to receive such a rejection. If the invention could be described as a business method it is potentially problematic.

The **usefulness requirement** is rarely challenged by the USPTO, requiring only that the invention has an identifiable benefit and is capable of use. The purpose of this requirement is generally to prevent patenting of hypothetical or fantastic inventions, such as a perpetual motion machine.

The novelty requirement is set forth in 35 U.S.C. § 102 which, in part, states:

A person shall be entitled to a patent unless - the claimed invention was patented, described in a printed publication, or in public use, on sale, or otherwise available to the public before the effective filing date of the claimed invention.

The courts have held that a patent claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently, in a single prior art reference. In other words, if no differences are found between the claimed invention and the prior art, then the claimed invention lacks novelty and is to be rejected by USPTO personnel under 35 U.S.C. § 102 as being anticipated by the cited prior art reference.

The non-obviousness requirement is set forth in 35 U.S.C. § 103, which states:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section <u>102</u>, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

The U.S. Patent Office applies the following guidelines to determine if the non-obviousness requirement is met.

(A) Combining prior art elements according to known methods to yield predictable results;

(B) Simple substitution of one known element for another to obtain predictable results;

(C) Use of known technique to improve similar devices (methods, or products) in the same way;

(D) Applying a known technique to a known device (method, or product) ready for improvement to yield predictable results;

(E) "Obvious to try" – choosing from a finite number of identified, predictable solutions, with a reasonable expectation of success;

(F) Known work in one field of endeavor may prompt variations of it for use in either the same field or a different one based on design incentives or other market forces if the variations are predictable to one of ordinary skill in the art; and/or

(G) Some teaching, suggestion, or motivation in the prior art that would have led one of ordinary skill to modify the prior art reference or to combine prior art reference teachings to arrive at the claimed invention.

Analysis

Patent Literature

A) U.S Patent No. 2,350,883



- a. Title: Method for the Storage of Goods
- b. Inventor: Gottlieb Duttweiler
- c. Abstract: N/A
- d. **Relevant Excerpt from Specification:** "The present invention has for its object to remove these disadvantages and according to the method forming a part of this invention this is effected by inserting the materials to be stored into containers or

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tanks which may have for instance a size of at least the capacity of tons and which, after they have been filled up, are at least partly sunk into natural waters such as rivers, lakes and the like. This sinking of the tanks allows to reduce the heat transition between the medium outside the tank and the material stored, which is preferably filled in a cooled condition, as the difference between the temperatures within and outside the tank is reduced. In carrying out this method at least one tank is provided for receiving the material to be stored, which tank is constructed in such a manner that it can be located at least in a partially sunk position in natural waters." *See* pg. 1, column 1, lines 23-41.

"The containers or tanks can be directly connected with cooling appliances whereupon the efficiency of these cooling appliances can be chosen relatively small especially when the tanks are brought to such a water depth where there is a temperature substantially constant at 39°-41°F so that an eventually necessary further cooling has to be effected only from these 39°-41°F. downwards. For lowering and raising the tanks into and out of the water, especial devices can be provided as well as such for the filling and emptying of the tanks... Preferably the tanks are made with a circular or rectangular cross-section whereupon the dimensions and disposition of the side-walls permit an elastic deflection (vaulting) for compensating the outer water-pressure."

"Figs. 11, 11a, 11b and 11c illustrate, by way of example, a device for sinking a tank and adjusting it in its floating position. In this figure, **A** represents a ship with liftingapparatus, **B** a ship with lifting-apparatus and devices for supplying grain, **T** the underwater-tank with firmly attached auxiliary weight for stable floating position, G_0 an auxiliary weight, G_1 an additional weight for sinking the tank until it has been compressed, **Z** an additional weight for sinking the tank (anchor-weight) Hb₁ an auxiliary buoy for marking the standing-place oi the tank and Hb₂ an auxiliary buoy for fastening the lifting rope for the additional weight for the re-lifting of the tank."

Analysis: This prior art discloses a method for storing a container of grain or other materials within water. In particular, this reference discloses attaching an anchor to a container on one end, so that the container is suspended within a body of water for storing the container underwater for any period of time.

If an Examiner attempts to use this reference in a novelty based rejection, it is likely to be overcome, because although this patent discloses a container (underwater tank T) where the container is maintained in a position underwater having a desired temperature (see Fig. 11c) and a suspension device (lifting rope), this patent fails to disclose use of 1) a submersible net within which a storage container is located 2) propellers located on the container or net to propel the container to a desired depth

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3) underwater, temperature sensors 4) or the submersible net adapted with clips, hangers, and other attachment means for suspending the container within the net, unlike your proposed invention. Further, the method for suspending the container in the water in this patent reference is completely different from the method of suspension of the net and container in your proposed invention.

Additionally, due to the many differences between the system and method of operation of your invention and this reference, it is also likely that your proposed invention should be able to overcome an obviousness based rejection if the claims are carefully and narrowly crafted to include the unique feature of your proposed invention. For the aforementioned reasons, as your invention is currently described, I do not believe an examiner will reject your application based solely on this prior art.

B) U.S Patent Application No. 2016/0273821



Fig 1

a. Title: A Mobile Device for Storing and Cooling Food Objects

- b. Inventor: Joakim Schöllin
- c. Abstract: The present invention relates to a mobile device for storing and cooling food objects, comprising a first bag (1) defining a first cavity configured to store at least one food object, and a second bag (2) attached to the first bag and defining a second cavity configured to store at least one weight for sinking the device below a water level, and the device is designed to enable a line to be connected to the device for securing the device when it is below the water level.

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d. Relevant Excerpt from Specification: "FIG. 1 shows the mobile storage device according to the present invention. The storage device comprises a first outer bag 1, which is attached to a second outer bag 2 and a handle part 3. A line 4 may be attached to the handle part 3. A frame 5 may also be present. Alternatively, the handle part 3 comprises the frame 5. The first outer bag 1 comprises a first cavity enclosed by a first front face 6 and a first back face (not shown)." *See* Paragraph [0042].

"The storage device is then submerged in the water of a lake or a sea at a depth between 0.01 and 25 meters. The second end of the line **4** is secured to a holding object such as a boat, a buoy or an object on shore. The depth can be varied by varying the length of the line **4**. The part of the line **4** that is not used for lowering the storage device into the water can be coiled on the frame **5** or on the holding object. Alternatively, the storage device can be towed in the water for example when the boat is moving. The water temperature decreases at increasing depth. The temperatures are different depending on the outer temperature and the depth of the lake or sea as well as the current in the water. Decreased temperatures are used for cooling the at least one food object comprised in the storage device." *See* Paragraphs [0062-0063].

e. **Analysis:** This prior art discloses a mobile storage device for storing and cooling food objects underwater. The method of operation described in this patent includes lowering the storage container and suspending a line from a boat, buoy, or other object. This patent reference describes that the water temperature decreases at increasing depth in a body of water, and that such as decreased temperature may be used to cool food and other objects located in the storage device. While this patent application will be considered relevant due to these similarities, it is likely that an Examiner will not be able to use this reference in a novelty based rejection to assert that your invention is not novel. This is because there are many features and elements that may be included in the claims of your patent that are not taught by this patent reference. For example, there is no disclosure whatsoever in this patent application regarding 1) using a submersible net that holds a waterproof container 2) temperature sensors or 3) propellers to lower the submersible net and container to a desired depth.

All of these elements are notable differences that may be used to overcome this rejection if it were to be used in an obviousness based rejection. It seems likely that this reference, on its own, is not likely to be successful in preventing your patent from receiving favorable examination.

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C) U.S Patent No. 6,014,833



- a. Title: Floating Fisherman's Accessory
- b. Inventor: Gabriel M. Benavidez
- c. Abstract: A Floating Fisherman's Accessory which is fabricated of Styrofoam[™] measuring approximately 3 feet in length, 4 inches in height and 18 inches in width which includes several openings which extend into the water including a large opening for placement of the 5 gallon bucket, two sealed storage areas, one for storing cold drinks, and one for storing fishing equipment and tackle, a pair of metal skids runs along a bottom length on each side of the device allowing the device to be pulled across terrain without damaging the live bait bucket, the device also includes accessory drink holders and fishing pole holders.
- d. **Relevant Excerpt from Specification:** "The fisherman would place live bait within a 5 gallon bait bucket located within a central portion of the device which allows the 5 gallon live bait bucket to extend into the water when the device is floating on the water. The user would then place beverages, i.e., a six pack of drinks into the beverage holding container. The user could then place ice over the drinks if desired. The device includes lids for the cooling location and the equipment storage location." *See* col. 3, lines 9-15.

"The device includes a central aperture **20** which extends through the device middle portion and which aperture **20** has a diameter dimensioned to allow a 5 gallon live bait bucket to be placed within the aperture while the bait bucket top rim catches the top surface **14** of the device thereby preventing the bait bucket from falling all the way through the aperture **20**. The bait bucket **21** preferably includes numerous small

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holes 22 for allowing water to flow through the bait bucket 21 when the device is floating in water, thereby providing a means for maintaining live bait. A beverage cooling container 30 is located on the rear end 12 of the device. The beverage container 30 is preferably rectangular in shape and dimensioned to allow a six pack of can drinks to be inserted into the container while an extending portion of the container 31 extends below the bottom surface 15 of the device. Can drinks 32 are inserted into the cooling container 30 while ice is added to the container after the drinks have been inserted into the container 30. A cooling container lid 33 is attached to a top portion of the cooling container 30. The cooling container lid 33 is tethered to the device with a tether line 34 which prevents the lid 33 from inadvertently blowing off and being misplaced." *See* col. 3, lines 52-67; col. 4, lines 1-6.

e. Analysis: This prior art discloses a floating fisherman's accessory which extends into the water for storing cold drinks and fish bait. This prior art is not likely to be considered novelty-based prior art to your invention because, although it discloses a container (bait bucket 21), a suspension device (tow line 65) and metal hangars or attachments configured to clip onto the container (bait bucket top rim catches the top surface 14 of the device thereby preventing the bait bucket 21 from falling all the way through the aperture 20), this prior art fails to disclose propellers and a temperature sensor. Further, and importantly, there is no disclosure regarding a submersible net that contains the container. Further, this container is not waterproof and would not suitable for holding food for consumption.

Moreover, this prior art is not likely to be considered obviousness-based prior art to your invention because it would <u>not</u> have been obvious for a person of skill in the art to modify the fisherman's container to include a submersible net having propellers and temperature sensors for storing the items underwater for a period of time.

Taken together, this means that the examiner will not likely consider this reference direct prior art for your invention because this prior art is not considered noveltybased or obviousness-based prior art for lack of many components of your invention. For the above reasons, as your invention is currently described, I do not believe an examiner will reject your application based solely on this prior art.

D) U.S Patent Application No. 2016/0381841



- a. Title: Underwater Container Cooling Via External Heat Exchanger
- b. **Inventors:** Eric C. Peterson, Benjamin F. Cutler, Thomas Foley, Peter Johnson, Alexander Jacques Fleming, David Bazeley Tuckerman
- c. Abstract: In one example, a shell includes walls that collectively define an interior space of the shell, the interior space sized and configured to receive heat generating equipment. An internal heat exchanger disposed within the interior space is arranged for thermal communication with heat generating equipment when heat generating equipment is located in the interior space. Additionally, an external heat exchanger is located outside of the shell and arranged for fluid communication with the internal heat exchanger. Finally, a prime mover is provided that is in fluid communication with the internal heat exchanger and the external heat exchanger, and the prime mover is operable to circulate a flow of coolant through the internal heat exchanger and the external heat exchanger.

d. Relevant Excerpt from Specification: "With attention now to FIGS. 6a and 6b, details are provided concerning some example external heat exchangers and pressure shells. In FIG. 6a, one example embodiment of the pressure shell is denoted at 800. The example pressure shell 800 has a generally cylindrical shape with a domed top and bottom, although as noted herein, the pressure shell 800 can be any suitable size and shape and, accordingly, the embodiment of FIG. 6a is presented solely by way of example. The size and shape of the pressure shell 800 may also be determined at least in part based on the further considerations noted below. In one example embodiment, the pressure shell 800 is between about 7 feet and 9 feet in diameter, and one particular embodiment is about 8 feet in diameter. Larger, or smaller, lengths and/or diameters than those disclosed in the foregoing examples, as well as any other measurements, could also be employed, and the scope of the invention is not limited to any particular size or configuration of a pressure shell." *See* Paragraph [0070].

"Other elements of the example pressure shell **800** include one or more lift points **806**. In general, the lift points **806** include an eye or other structure that can accommodate a chain, cable, hook and/or other lifting devices. The lift points **806** can be used when immersing the pressure shell **800**, when retrieving the pressure shell **800**, and performing various other operations concerning the pressure shell **800** such as, but not limited to, manipulating the pressure shell **800** during assembly, shipping, mooring, service, or positioning on a seabed, foundation, or other underwater location. In some embodiments, lift points can be omitted and the pressure shell can include one or more hard points by way of which the pressure shell can be positioned and manipulated using straps, chains, or other devices." *See* Paragraph [0071].

"As well, the pressure shell **800** may include environmental monitoring and control equipment **810** disposed within the pressure shell **800**. Such environmental monitoring and control equipment **810** can facilitate the monitoring and control of environmental parameters such as temperature, pressure, noise, shock, vibration, volatile organic compounds (VOC), and humidity of the interior environment of the pressure shell **800**. It should be noted that some humidity may be desirable to help reduce static. The temperature of the interior and exterior walls of the pressure shell **800** can also be monitored. The environmental monitoring and control equipment **810**can include, for example, one or more of cameras, sensors for any of the monitored parameters, as well as air heaters, dryers, air coolers, and desiccants. Where a relatively dry environment with low, or no, humidity is desired, equipment such as ionizers can be used to prevent buildup of static." *See* Paragraph [0073].

e. Analysis: This prior art discloses an underwater cooling container that has an exterior shell and an interior shell, with coolant flowing in between the exterior and interior shell to cool any items located within the interior shell. This prior art is not likely to be considered novelty-based prior art to your proposed invention. There are many differences between your proposed invention and this patent application, because, although it discloses a container (pressure shell **800**), a suspension device (chain, cable, hook and/or other lifting devices), metal hangars or attachments configured to clip onto the container (lift points **806**), and a temperature sensor (temperature sensors in the monitoring and control equipment **810**), this prior art fails to disclose 1) a submersible net that is adapted to contain a container as well as 2) propellers that can move the submersible net and container to a desired depth underwater.

It is possible that this patent application may be considered in an obviousness-based rejection, in which the Examiner would combine this reference with another reference that does include a net and a set of propellers. However, if such a reference is not located, then it is unlikely that an obviousness based rejection would be successful in the rejection of your patent application.

As stated above, any patent application that is filed should be drafted to include a submersible net having a container that includes propellers to overcome the prior art. It is also recommended to consider providing additional unique functions and features that may be used to distinguish your proposed invention from any prior art so as to increase the changes of favorable examination at the USPTO.

E) <u>KR20090096843</u>



a. Title: Movable Net-Cage Structure in Underwater Capable of Minimizing Damage of Natural Disasters Efficiently

b. Assignee: Korea Maritime University Industry Academic Cooperation Foundation

c. Inventors: Jin Oh, Yeon Ham, Jun Kwak

d. Abstract: A movable net-cage structure in underwater is provided to maximize stability in operation by removing danger possibility due to fluctuation of a wire by adjusting a location of the movable net-cage structure.

e. Relevant Excerpt from Specification: A movable net-cage structure in underwater includes a mesh net (100), a floating part (200), an underwater movement unit (300). The fish is raised in the mesh net. The floating part includes an upper rim (210) and a lower rim (220). The upper rim maintains a shape of the top of the mesh net. The lower rim maintains the shape of the bottom of the mesh net. The movable net-cage structure in underwater maintains a constant shape of the structure while floating the mesh net on the

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water surface or underwater. The underwater movement unit adjusts a location of the mesh net freely.

f. Analysis: The patent link provided is a translation of a Korean patent. This patent discloses a moveable net cage structure that is intended to contain fish that may be raised and fed in the net cage. Further, the patent describes that the movable net cage structure is environmentally friendly and is also designed to keep the structure in tact if there are any natural disasters, such as typhoons or storms. The movable net cage structure has a forward/reverse drive motor that is connected to the moveable net cage structure that allows the net cage to be moved underwater.

It is not likely that an Examiner would be successful in using this reference to reject your patent application under a 102 novelty based rejection. However, an Examiner may raise this prior art reference in a 103 obviousness rejection of one or more claims of your patent application, alleging that this reference discloses, at least partially, the elements of your proposed invention. There are some similarities between your proposed invention and the present patent. These similarities include the concept of having a submersible net located underwater to store fish, and that the submersible net may be moveable underwater using electromechanical means. However, it is likely that such a rejection may be overcome, because there are also notable differences between your proposed invention and this patent. For example, your proposed invention utilizes a submersible net that has a separate container having a rectangular or other polygonal shape that is then located within the net, whereas this above-identified patent solely describes a general cage structure, but does not have a separate container located within the general cage structure, and the net is located along the sides of the net cage structure to keep in the fish and allow enough water to flow through.

Other notable differences between your proposed patent application and this patent are the 1) use of a waterproof container and lid to keep items dry (e.g. food and drinks) 2) clips or other means of attaching the container to the submersible net 3) temperature sensors and 4) the propellers that extend from the container through the net on either side to move the container to a desired depth underwater.

Based on these unique features and functions provided by your proposed invention, it is likely that an obviousness based rejection that cites to this patent could be overcome.

Non-Patent Product Literature

F) Floating Fish Cage



- a. Title: Floating Fish Cage
- b. Company: Underwater Warehouse

c. **Relevant Excerpt from Specification:** Instant isolation chamber for koi observation. The Floating Fish Cage sets up in seconds to create a protected area within your pond. Black polyester netting allows good water circulation and provides a sense of security to help reduce fish stress. Floating lid allows easy access. The Floating Fish Cage is useful for emergencies, but can also be used as a temporary holding pen to separate prized koi for shows or transport.

d. **Analysis:** The above-identified website that includes the above-pictured product is an example of non-patent product literature. Even though this website is not a patent, an Examiner may still conduct a search and locate this website to use in an obviousness rejection of your proposed invention. The above-identified product is described as a "floating fish cage" that may serve as an isolation chamber for isolating and observing koi and other live fish. While there are some general similarities, there are also noticeable and important differences between your proposed invention and the product described in this website. Notably, the Floating Fish Cage is a container having a lid. However, the Floating Fish Cage is 1) not located within a submersible net 2) does not include temperature sensors 3) is not water proof and in fact is designed to allow water to flow through 4) is not connectable to a submersible net via clips or other hangers and 5) does not include any propellers extending from the container through a submersible net. Due to all of these notable differences between this reference and your proposed invention, it is likely that an obviousness based rejection that cites to this reference may be overcome. However, it will

be necessary to narrowly craft the claims of your proposed invention to focus on the unique features of your proposed invention, including the unique system and method of use.

Limitations of Patentability Searches

Patentability Searches have limitations that should be considered when deciding whether or not to file a patent application. It is impossible to guarantee that a patent will issue on any specific invention because of these limitations. Some of these limitations include:

- 1. Most patentability searches only cover issued United States patents and published applications, unless indicated otherwise in the search report. Thus, foreign patents, U.S. patent applications that have not been published, periodical articles, and commercial activities are not developed during a patentability search.
- 2. A Patentability Search is <u>not</u> a Right-to-Use Search that is conducted with the purpose of determining whether making, using, or selling an invention would infringe an existing United States patent. A right-to-use search is much more comprehensive and, accordingly, much more expensive than a patentability search.
- 3. Any opinion provided is based on the current state of patent law regarding the patentability of your invention and the results obtained by the search. Patentability of an invention is a difficult legal question, and reasonable minds can differ on whether a particular invention is patentable in light of the same prior art. It is possible that an examiner, a judge, or a competitor may reach a different conclusion.
- 4. Non-patentable subject matter rejections are especially hard to predict for the reasons described above. The law is currently in a state of flux and a workable definition for abstract idea has arguably not yet been formulated by either the Supreme Court, the Federal Circuit, or the Patent and Trademark Office.

If you intend to file—or are unsure about filing—I strongly recommend that you file at least a provisional patent application before publicly disclosing your invention or beginning any commercial activities involving your invention. Such public disclosure or commercial activities can jeopardize your ability to obtain a patent in the United States and foreign countries. In some foreign countries, any public disclosure or commercial activity anywhere in the world can prevent you from obtaining a patent, regardless of whether a non-provisional patent application is filed within the one year grace period provided by some countries, .e.g. the United States.

Conclusion

As your invention is currently described, I recommend moving forward with a patent application. Your patent application is patent eligible. While we are cautiously optimistic that you should receive a favorable examination at the USPTO, even if we may still be able to achieve a patent, the scope of the patent may be narrower than what you had originally conceived it to be, and a careful wording of your claims to include additional detail of your invention may be required to achieve a patent.

The positive news is that none of the cited references are identical to your proposed invention, and do not include each element of your proposed invention. Nevertheless, it is possible that one or more references may be considered in an obviousness rejection. Your invention is at least partially described in U.S. Patent Nos. <u>US 2,350,883</u> and <u>US 6,014,833</u>, and U.S. Patent Application No. <u>US 2016/0381841</u> and <u>US 2016/0273821</u>. However, we should be able to overcome such rejections by pointing to the unique features and advantages offered by your proposed invention. For example, we will argue that it would not have been obvious for a person of skill in the art to modify the underwater container of <u>US 2016/0381841</u> to provide propellers providing upward and downward mobility to maintain a constant temperature in the container. This is a very unique feature not found in any of the searched references.

Therefore, I **would recommend** you move forward with a patent application. If you wish to proceed, Bold IP stands ready to skillfully draft the specification and claims in such a way to protect the novel aspects of your invention, keeping in mind the valuable information that we have learned from the search about your chosen field of innovation.

Filing a patent application assures that you will be the first to file and provides you with a priority date to reference back to, and provides you with a "patent pending" status. We will discuss with you in detail whether to file a provisional or a non-provisional patent application, and the benefits of filing either type of application.

Bold IP stands ready to help you with moving forward with your invention and will be in touch shortly after delivering this opinion to discuss in detail the next step.

Regards,

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